

02/08/02



3-5525 U.S. PTO

Please type a plus sign (+) inside this box → ☒Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **SFTC-01001US0**  
 First Inventor **Edward L. Bank**  
 Title **A Method, Article of Manufacture, And ...**  
 Express Mail Label No. **EV 000954461 US**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form** (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ **Applicant claims small entity status.**  
See 37 CFR 1.27.
3. ☒ **Specification** [Total Pages **43**]  
(Informal arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ **Drawing(s)** (35 U.S.C. 113) [Total Sheets **4**]
5. **Oath or Declaration** [Total Pages **1**]  
 a. ☐ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)  
 i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6. ☐ **Application Data Sheet.** See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
 Computer Program (Appendix)  
 8. **Nucleotide and/or Amino Acid Sequence Submission**  
 (if applicable, all necessary)  
 a. ☐ Computer Readable Form (CRF)  
 b. **Specification Sequence Listing on:**  
 i. ☐ CD-ROM or CD-R (2 copies); or  
 ii. ☐ paper  
 c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))  
 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
 (when there is an assignee) ☐ Attorney  
 11. ☐ English Translation Document (if applicable)  
 12. ☐ Information Disclosure ☐ Copies of IDS  
 Statement (IDS)/PTO-1449 ☐ Citations  
 13. ☐ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)  
 15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 16. ☐ Request and Certification under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 or its equivalent.  
 17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
 or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information

Examiner

of prior application No. \_\_\_\_\_

Group: Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
 Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

28554

or

☐ Correspondence address below

Name **Kirk J. DeNiro, Esq.**  
 Address **Vierra Magen Marcus Harmon & DeNiro, LLP**  
**685 Market Street, Suite 540**  
 City **San Francisco** State **California** Zip Code **94105-4206**  
 Country **U.S.A.** Telephone **(415) 369-9660** Fax **(415) 369-9665**

Name (Print/Type) **Kirk J. DeNiro** Registration No. (Attorney/Agent) **35,854**  
 Signature **[Signature]** Date **2/8/02** Date **February 8, 2002**

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 487.00

## Complete If Known

Application Number	Unknown
Filing Date	February 8, 2002
First Named Inventor	Edward L. Bank
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	SFTC-01001US0

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	501826
Deposit Account Name	Vierra Magen Marcus Harmon & DeNiro LLP

☒ Charge Any Additional Fee Required

Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.

See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims			
33	-20** = 13	X 9.00	=117.00
3	-3** = 0	X 42.00	=0.00
Multiple Dependent			=0.00

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 117.00

\*\*for number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,900	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(g)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (lines number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

## SUBMITTED BY

Name (Print/Type)	Kirk J. DeNiro	Registration No. (Attorney/Agent)	35,854	Telephone	415.369.9660
Signature		Date	2/8/02	Date	February 8, 2002

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**A METHOD, ARTICLE OF MANUFACTURE, AND PROCESSING  
DEVICE FOR PROVIDING PROMOTIONS OVER A NETWORK USING  
AN ALPHANUMERIC SEQUENCE FROM A PRODUCT**

**Inventors:**

Edward L. Bank  
Michael J. Comb  
Juan Carlos Velten Funca

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
UNDER 37 C.F.R. "1.10"**

"Express Mail" mailing label number: EV 000954461 US  
Date of Mailing: February 8, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

  
Lisette Miller

Signature Date: February 8, 2002

PREPARED BY  
VIERRA MAGEN MARCUS HARMON & DENIRO LLP  
CUSTOMER ID: 000028554